

27/10/2025 Lecture 29, Hellman-Feynman Theorem

E.g. of application of V.T.

$$2\langle \hat{T} \rangle = n\langle \hat{V} \rangle \quad \text{--- (1)}$$

for  $V$  being a homogeneous function of  $n^{\text{th}}$  degree.

$$\therefore E = \langle \hat{H} \rangle = \langle \hat{T} \rangle + \langle \hat{V} \rangle \quad \text{--- (2)}$$

We have that

$$\langle \hat{T} \rangle = \frac{n}{2} (E - \langle \hat{T} \rangle)$$

$$\Rightarrow \boxed{\langle \hat{T} \rangle = \frac{n}{n+2} E} \quad \text{--- (3)}$$

Similarly,

$$\boxed{\langle \hat{V} \rangle = \frac{2}{n+2} E} \quad \text{--- (4)}$$

$n \rightarrow$  degree of homogeneity.

Q. Calculate the expectation value of  $\hat{x}^2$  for a Q.H.O. in the  $v^{\text{th}}$  excited state.

For a Q.H.O.  $V(x) = \frac{1}{2} k x^2$  — (5)

$\Rightarrow V$  is homogenous with degree 2.

Now,  $E_v = (v + \frac{1}{2}) \hbar \omega$  — (6)

$\therefore$  From (4) we have.

$$\langle \hat{V} \rangle_v = \frac{1}{2} k \langle \hat{x}^2 \rangle_v = \frac{2}{2+2} (v + \frac{1}{2}) \hbar \omega$$

— (7)

$$\Rightarrow \langle x^2 \rangle_v = \frac{1}{m \omega^2} \cdot (v + \frac{1}{2}) \hbar \omega \quad (k = m \omega^2)$$

$$= \frac{\hbar}{(m \omega)} (v + \frac{1}{2})$$

— (8)

|||  $\langle \hat{p}^2 \rangle_v = 2m \langle \hat{T} \rangle_v = 2m \cdot \left( \frac{2}{2+2} \right) (v + \frac{1}{2}) \hbar \omega$

$$\Rightarrow \langle \hat{p}^2 \rangle_v = (v + \frac{1}{2}) m \hbar \omega \quad - (9)$$

Note that since  $\langle \hat{x} \rangle = \langle \hat{p} \rangle = 0$   
in all states we have.

$$\Delta p_x = \sqrt{\langle \hat{p}_x^2 \rangle}$$

$$\Delta x = \sqrt{\langle \hat{x}^2 \rangle}$$

$\therefore$  in the  $v^{\text{th}}$  excited state.

$$\Delta x \Delta p = \sqrt{\frac{\hbar}{m\omega}} \left( \sqrt{v + \frac{1}{2}} \right) \cdot \frac{\sqrt{v + \frac{1}{2}}}{\sqrt{m\hbar\omega}}$$

$$= \left( v + \frac{1}{2} \right) \hbar \geq \frac{\hbar}{2} \quad - (10)$$

$\Rightarrow$  H. v. p. satisfied.

Since  
 $v = 0, 1, 2, \dots$

Does the V.T. really work? Let's calculate  $\langle \hat{x}^2 \rangle$  explicitly.

$$\text{Now, } \hat{a}_{\pm} = \frac{1}{\sqrt{2}} \left( \hat{x} \pm i \hat{p} \right) \quad (11)$$

$$\Rightarrow \hat{x} = \frac{1}{\sqrt{2}} (\hat{a}_{-} + \hat{a}_{+}) \quad (12)$$

$$\& \quad \hat{x} = \sqrt{\frac{\hbar}{m\omega}} \hat{x}$$

$$\therefore \hat{x}^2 = \frac{\hbar}{2m\omega} (\hat{a}_{-} + \hat{a}_{+})(\hat{a}_{-} + \hat{a}_{+})$$

$$= \frac{\hbar}{2m\omega} \left[ \hat{a}_{-}^2 + \hat{a}_{+}^2 + \hat{a}_{+}\hat{a}_{-} + \hat{a}_{-}\hat{a}_{+} \right]$$

$$= \frac{\hbar}{2m\omega} \left[ \hat{a}_{-}^2 + \hat{a}_{+}^2 + 2\hat{a}_{-}\hat{a}_{+} - 1 \right] \quad (13)$$

$$\begin{aligned} \langle \nu | \hat{x}^2 | \nu \rangle &= \frac{\hbar}{2m\omega} \left\{ \langle \nu | \hat{a}_{-}^2 | \nu \rangle + \langle \nu | \hat{a}_{+}^2 | \nu \rangle + 2\langle \nu | \hat{a}_{-}\hat{a}_{+} | \nu \rangle - 1 \right\} \\ &\quad \text{(using } [\hat{a}_{-}, \hat{a}_{+}] = 1) \end{aligned}$$

$$= \frac{\hbar}{m\omega} \left[ \langle v|v \rangle (\sqrt{v+1})^2 - \frac{1}{2} \right]$$

$$\left( \begin{array}{l} \text{using } \hat{a}_+ |v\rangle = \sqrt{v+1} |v+1\rangle \\ \text{ \& } \hat{a}_- |v\rangle = \sqrt{v} |v-1\rangle \end{array} \right)$$

$$= (v + \frac{1}{2}) \frac{\hbar}{m\omega} \quad - (14)$$

which agrees with (8).

Hence, V.T. is verified in this case.

Other examples in assignment.

# Hellman-Feynman Theorem

Consider a system with a Hamiltonian depending on a parameter  $\lambda$ .

$$\hat{H} \equiv \hat{H}(\lambda) \quad \text{eg. } \lambda \rightarrow e, m, \hbar, c, k, \omega, \text{ etc}$$

(Family of problems)

$\Rightarrow$  The eigenvalues & eigenstates also depend on the parameter.

$$\text{i.e. } \hat{H}(\lambda) |\Psi_\lambda\rangle = E(\lambda) |\Psi_\lambda\rangle \quad - (15)$$

$$\text{If } E(\lambda) = \langle \Psi_\lambda | \hat{H}(\lambda) | \Psi_\lambda \rangle \quad \text{where } \langle \Psi_\lambda | \Psi_\lambda \rangle = 1$$

then

$$\begin{aligned} \frac{dE}{d\lambda} = & \left\langle \frac{\partial \Psi_\lambda}{\partial \lambda} | \hat{H}(\lambda) | \Psi_\lambda \right\rangle \\ & + \langle \Psi_\lambda | \hat{H}(\lambda) | \frac{\partial \Psi_\lambda}{\partial \lambda} \rangle \\ & + \left\langle \Psi_\lambda | \frac{\partial \hat{H}(\lambda)}{\partial \lambda} | \Psi_\lambda \right\rangle \end{aligned} \quad - (16)$$

$$= \left( \left\langle \frac{\partial \Psi_\lambda}{\partial \lambda} \middle| \Psi_\lambda \right\rangle + \left\langle \Psi_\lambda \middle| \frac{\partial \Psi_\lambda}{\partial \lambda} \right\rangle \right) E(\lambda) \\ + \left\langle \Psi_\lambda \middle| \frac{\partial \hat{H}}{\partial \lambda} \middle| \Psi_\lambda \right\rangle \quad (\text{using (15)})$$

$$= E(\lambda) \frac{\partial}{\partial \lambda} \langle \Psi_\lambda | \Psi_\lambda \rangle \\ + \left\langle \Psi_\lambda \middle| \frac{\partial \hat{H}(\lambda)}{\partial \lambda} \middle| \Psi_\lambda \right\rangle$$

$$= \left\langle \Psi_\lambda \middle| \frac{\partial \hat{H}(\lambda)}{\partial \lambda} \middle| \Psi_\lambda \right\rangle \quad \left( \because |\Psi_\lambda\rangle \text{ is normalized} \right) \\ \underline{\underline{\hspace{1cm}}} \quad \text{--- (17)}$$

$$\therefore \boxed{\frac{dE(\lambda)}{d\lambda} = \left\langle \Psi_\lambda \middle| \frac{\partial \hat{H}(\lambda)}{\partial \lambda} \middle| \Psi_\lambda \right\rangle} \quad \text{--- (18)}$$

This is called the Hellmann-Feynman Theorem

## Variational Principle & Variation Theorem

Let  $|\Psi\rangle$  be an arbitrary, <sup>normalized</sup> state in the Hilbert space of the system described by the hamiltonian  $\hat{H}$ .

Then, the energy estimated by  $|\Psi\rangle$  provides an upper bound to the exact ground state energy of  $\hat{H}$ .

i.e.

$$\langle \Psi | \hat{H} | \Psi \rangle \geq E_0 \quad - (1)$$

Proof:

$$\text{Let } \hat{H} |\phi_n\rangle = E_n |\phi_n\rangle \quad - (2)$$

$$\text{Then, } |\Psi\rangle = \sum_n |\phi_n\rangle c_n \quad - (3)$$

$c_n \in \mathbb{C}$ .

$$\because \hat{H} \text{ is Hermitian } \langle \phi_m | \phi_n \rangle = \delta_{mn} \quad - (4)$$

Then  $\langle \Psi | \hat{H} | \Psi \rangle - E_0$

$$= \langle \Psi | \hat{H} - E_0 \hat{I} | \Psi \rangle$$

$$= \sum_m \sum_n c_m^* \langle \phi_m | (\hat{H} - E_0 \hat{I}) | \phi_n \rangle c_n$$

$$= \sum_m \sum_n |c_n|^2 (E_n - E_0) \delta_{mn}$$

$$= \sum_n |c_n|^2 (E_n - E_0) \quad - (5)$$

Each term in the sum is greater than or equal to 0.

$$\therefore \langle \Psi | \hat{H} | \Psi \rangle - E_0 \geq 0$$

$$\text{or } \langle \Psi | \hat{H} | \Psi \rangle \geq E_0$$

- (6)

Hence proved.